

CSE331 Introduction to Algorithm

Lecture 7: Quicksort

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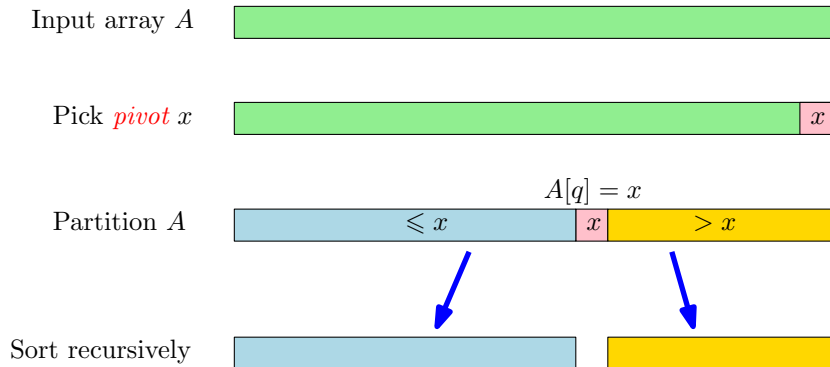
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Introduction

- Today, another sorting algorithm: QUICKSORT.
- A divide and conquer algorithm, but different from MERGE SORT
- An important algorithm as it is used in practice.
- Easy to implement, but analysis is difficult.
- Reference: Chapter 7 of the textbook [Introduction to Algorithms](#) by Cormen, Leiserson, Rivest and Stein.

QUICKSORT: Overview



QUICKSORT: Overview

- If the pivot x falls in the middle (i.e. $q = \lfloor n/2 \rfloor$), then the running time satisfies $T(n) = 2T(n/2) + \Theta(n)$.
- So $T(n) = \Theta(n \log n)$. (Same as MERGE SORT.)
- This is the best case scenario. Unfortunately there is no simple way of doing it.
- We will see that a *random* choice for the pivot is a good option.

QUICKSORT

Pseudocode

```
1: procedure QUICKSORT( $A[p \dots r]$ )
2:   if  $p < r$  then
3:      $q \leftarrow$  PARTITION( $A[p \dots r]$ )
4:     QUICKSORT( $A[p \dots q - 1]$ )
5:     QUICKSORT( $A[q + 1 \dots r]$ )
```

- In the next few slides, we describe two approaches for the PARTITION procedure.

Partitioning the Array

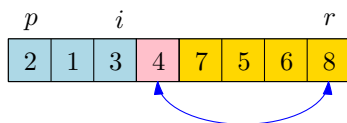
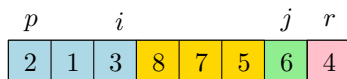
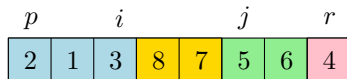
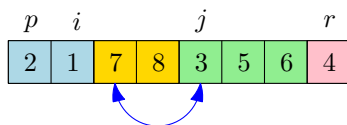
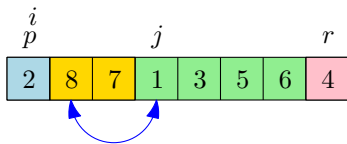
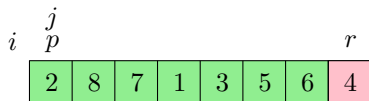
Pseudocode

```
1: procedure NAIVEPARTITION( $A[p \dots r]$ )
2:    $x \leftarrow A[r]$  ▷ Choose the pivot
3:    $L, R \leftarrow$  new arrays
4:    $j \leftarrow 1, k \leftarrow 1$ 
5:   for  $i = p, r - 1$  do ▷ Fill arrays  $L$  and  $R$ 
6:     if  $A[i] \leq x$  then
7:        $L[j] \leftarrow A[i]$ 
8:        $j \leftarrow j + 1$ 
9:     else
10:       $R[k] \leftarrow A[i]$ 
11:       $k \leftarrow k + 1$ 
12:    $A[p, p + j - 2] \leftarrow L[1, j - 1]$  ▷ Copy  $L$  into  $A$ 
13:    $A[p + j - 1] \leftarrow x$  ▷ Place the pivot
14:    $A[p + j, r] \leftarrow R[1, k - 1]$  ▷ Copy  $R$  into  $A$ 
15:   return  $p + j - 1$ 
```

Partitioning the Array

- The naive approach above runs in linear time.
- The pivot is chosen to be $A[r]$, but this is not a strong restriction. We could pick it anywhere.
- Drawback of the naive approach:
 - ▶ It fills up two auxiliary arrays.
 - ▶ i.e. it is not *in place*.
- Next two slides: a shorter, in-place version, that also runs in linear time.

Partitioning the Array: Example



Partitioning the Array

Pseudocode

```
1: procedure PARTITION( $A[p \dots r]$ )
2:    $x \leftarrow A[r]$  ▷ Choosing the pivot
3:    $i \leftarrow p - 1$ 
4:   for  $j \leftarrow p, r - 1$  do
5:     if  $A[j] \leq x$  then
6:        $i \leftarrow i + 1$ 
7:       Exchange  $A[i]$  with  $A[j]$ 
8:   Exchange  $A[i + 1]$  with  $A[r]$ 
9:   return  $i + 1$  ▷ Returns the position of the pivot
```

Proof of Correctness

Loop invariants

At the beginning of each iteration of the loop, for any array index k ,

- 1 If $p \leq k \leq i$, then $A[k] \leq x$.
- 2 If $i < k < j$, then $A[k] > x$.
- 3 If $k = r$, then $A[k] = x$.

- We need to prove Initialization, Maintenance, and Termination.
- Done in class. See textbook p. 173

Worst-Case Analysis

- The partition procedure runs in $\Theta(n)$ time.
- So the worst case running time $T(n)$ of QUICKSORT satisfies the relation:

$$T(n) \leq \max_{0 \leq q \leq n-1} (T(q) + T(n - q - 1)) + \Theta(n).$$

- Guess: $T(n) = \Theta(n^2)$.
- Using the substitution method, we prove that, $T(n) = O(n^2)$.
 - ▶ Done in class. See textbook p. 180.
- Example where $T(n) = \Omega(n^2)$:

$$A[1 \dots n] = [1, 2, \dots, n],$$

so QUICKSORT performs badly when the input is already sorted.

Randomized QUICKSORT

- In previous slide, we saw that QUICKSORT performs badly when the pivot is the largest element in the array.
- One way to avoid it is to pick a *random* pivot.

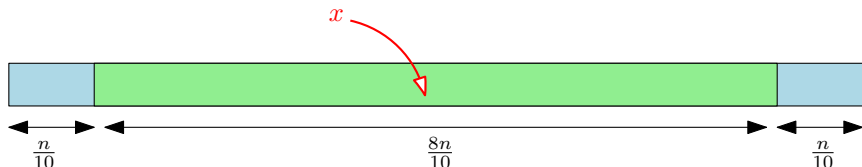
Pseudocode

```
1: procedure RANDOMIZEDQUICKSORT( $A[p \dots r]$ )
2:   if  $p < r$  then
3:      $i \leftarrow \text{RANDOM}(p, r)$ 
4:     Exchange  $A[r]$  with  $A[i]$ 
5:      $q \leftarrow \text{PARTITION}(A[p \dots r])$ 
6:     RANDOMIZEDQUICKSORT( $A[p \dots q - 1]$ )
7:     RANDOMIZEDQUICKSORT( $A[q + 1 \dots r]$ )
```

- Line 3: The integer i is chosen uniformly at random between p and r .

Randomized QUICKSORT

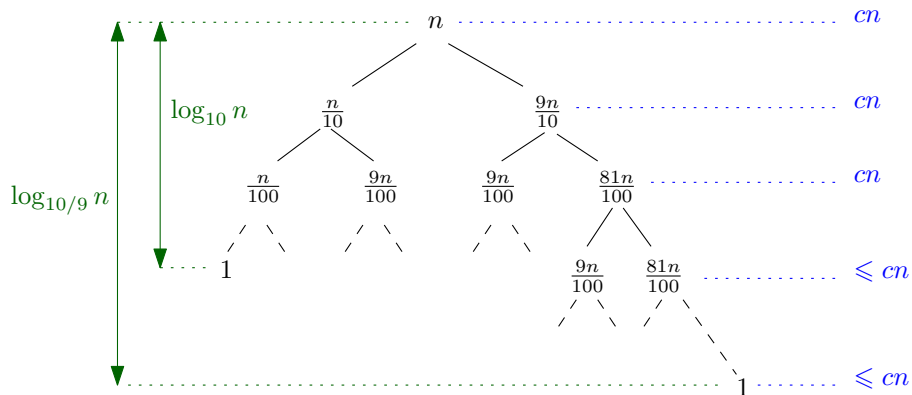
- As $A[r]$ is the pivot, the randomized QUICKSORT algorithm uses as pivot an element of the current array chosen uniformly at random.
- We now explain intuitively why the expected running time should be $O(n \log n)$.



- With probability $8/10$, the pivot falls in the green area.
- So the array is split into subarrays of sizes $\geq n/10$.
- We now apply the recursion tree method to

$$T(n) = T(n/10) + T(9n/10) + \Theta(n).$$

Randomized QUICKSORT



Total: $\Theta(n \log n)$

Randomized QUICKSORT

- Every level of the tree has cost $\leq cn$.
- Each level is full until depth $\log_{10} n = \Theta(\log n)$.
- The height is $\log_{10/9} n = \Theta(\log n)$.
- So the running time is $\Theta(n \log n)$, even in this very unbalanced case.
- Conjecture: The *expected* running time of randomized QUICKSORT is $\Theta(n \log n)$.
 - ▶ Here, “expected” running time means the average running time over all random choices of pivots.
 - ▶ It is not an average running time over a distribution of input arrays.
- We will prove it rigorously in the next lecture, while studying a related algorithm.

C Code (source: The C Programming Language, by Kernighan & Ritchie)

```
void qsort(int v[], int left, int right){
    int i, last;
    void swap(int v[], int i, int j);
    if (left >= right)
        return;
    swap(v, left, (left + right)/2);
    last = left;
    for (i = left + 1; i <= right; i++){
        if (v[i] < v[left])
            swap(v, ++last, i);
    }
    swap(v, left, last);
    qsort(v, left, last-1);
    qsort(v, last+1, right);
}

void swap(int v[], int i, int j){
    int temp; temp = v[i]; v[i] = v[j]; v[j] = temp;
}
```

Conclusion

- QUICKSORT is simple and practical, but its analysis is non-trivial.
- The randomized version runs in expected $O(n \log n)$ time *on any input*.
- It can be shown that this bound holds with high probability.
- It is often the case that simple randomized algorithms can be found for solving a given problem. It is an attractive choice for programmers as these algorithms are easy to implement and fast in practice.
- The deterministic version of QUICKSORT runs in quadratic time on some input, for instance sorted input.
- QUICKSORT is in-place.